

# Spread Pilots

Robert Calderbank

Duke University

**Abstract:** will describe how to use filters in the discrete delay-Doppler domain to create noise-like waveforms with excellent PAPR (around 5dB).

**Background** - Zak-OTFS and Integration of Sensing and Communication— in collaboration with Muhammad Ubadah, Saif Khan Mohammed, Ronny Hadani, Shachar Kons, and Ananthanarayanan Chockalingam

Disclosure: Advisor to Cohere Technologies

# Symplectic Transforms in Radar

Displacement operator:  $D(\tau, \nu) \phi(t) = e^{-\nu \tau} e^{j\nu t} \phi(t + \tau)$

Self-ambiguity function:  $A_\phi(\tau, \nu) = \langle \phi, D(\tau, \nu), \phi \rangle$

Symplectic transform: For example, Linear Frequency Modulation (LFM)

Chirp with slope  $q$ :  $U(q) : \phi(t) \rightarrow \phi_q(t) = e^{jq t^2} \phi(t)$

Symplectic transforms rotate self-ambiguity functions

$$A_{\phi_q}(\tau, \nu) = \langle \phi_q, D(\tau, \nu), \phi_q \rangle = \langle \phi, U(q)^+ D(\tau, \nu) U(q), \phi \rangle$$

$$A_{\phi_q}(\tau, \nu) = \left\langle \phi, D \left[ S_q^{-1} \begin{pmatrix} \tau \\ \nu \end{pmatrix} \right], \phi \right\rangle \text{ with } S_q = \begin{bmatrix} 1 & 0 \\ 2q & 1 \end{bmatrix}$$

# Discrete DD Domain Filters in Communications

*MN*-Periodic Discrete Chirp Filter with slope  $q$  (coprime to  $MN$ )

$$w[k, l] = \frac{1}{MN} e^{j 2 \pi \frac{q(k^2 + l^2)}{MN}}, \quad \text{for } k, l \in \mathbb{Z}$$

Spread pilot:  $x_{s,dd}[k, l] = w_s[k, l] *_{\sigma} x_{p,dd}[k, l]$

$x_{s,dd}$  is quasi-periodic,  $x_{s,dd}$  is periodic with period  $MN$  along delay and Doppler axis

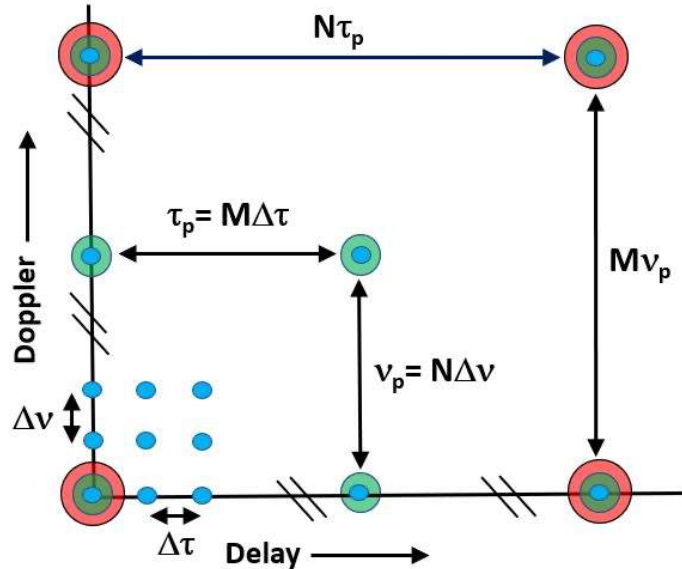
$w[k, l] \circledast_{\sigma} x_{p,dd}[k, l]$  :  $MN$ -Periodic twisted convolution of  $x_{p,dd}[k, l]$  with  $w[k, l]$  (the  $MN$ -periodic extension of the discrete filter)

**Theorem:**  $w_s[k, l] *_{\sigma} x_{p,dd}[k, l] = w[k, l] \circledast_{\sigma} x_{p,dd}[k, l]$

**Degrees of Freedom:** a discrete DD domain filter is specified by a vector in  $\mathbb{C}^{M^2 N^2}$

# Chirp Filters Rotate the Period Lattice

Point pulsone  $x_p$  :  $A_{x_p, x_p}[k, l]$  supported on the period lattice  $\Lambda_p$



Blue dots: Information lattice  $\Lambda_{dd}$

Green dots: Period lattice  $\Lambda_p$

Red dots: Dual lattice  $\Lambda_{dd}^\perp$

Spread pulsone  $x_s$

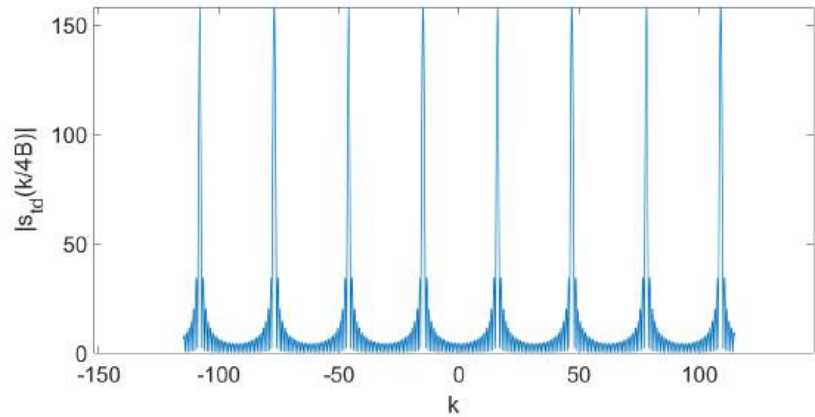
**Theorem:** Ambiguity function  $A_{x_s, x_s}[k, l]$  is supported on a rotated lattice  $\Lambda_q$  given by

$$(1 - 2q\theta) \Lambda_q = \begin{bmatrix} \theta & 1 \\ 1 & 2q \end{bmatrix} \Lambda_p \quad \text{where } \theta = (2q)^{-1} - 2q \pmod{MN}$$

# Spreading in TD and DD domain

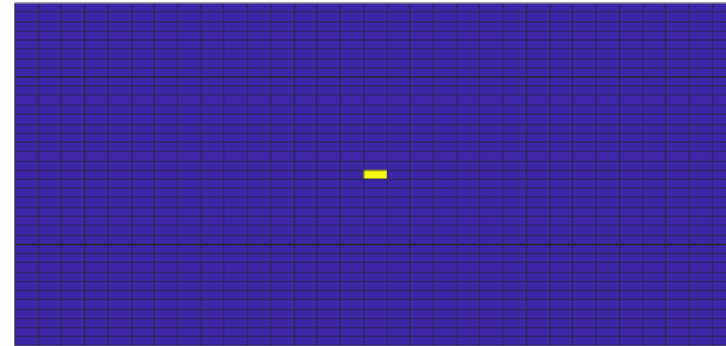
$x_p$

TD



peaky waveform

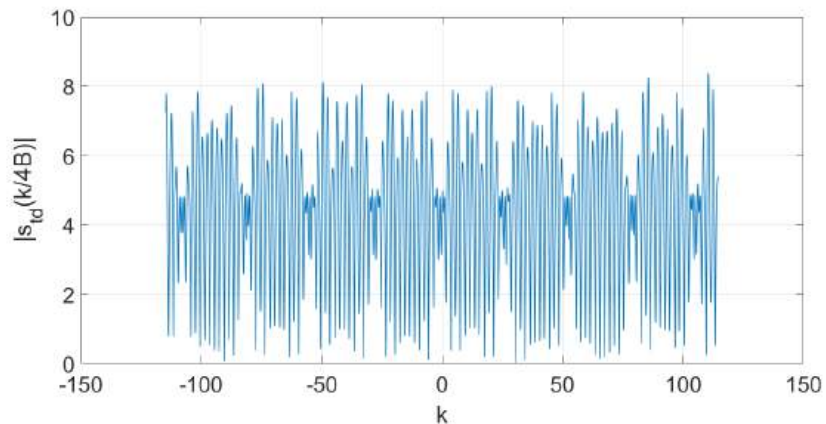
DD domain



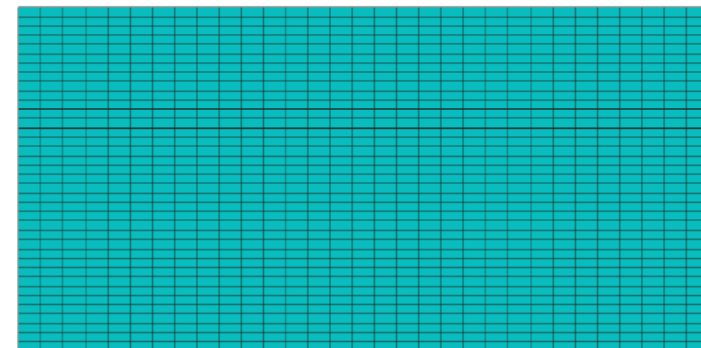
energy focussed at pilot location

Chirp filter with slope  $q = 3$

$x_s$

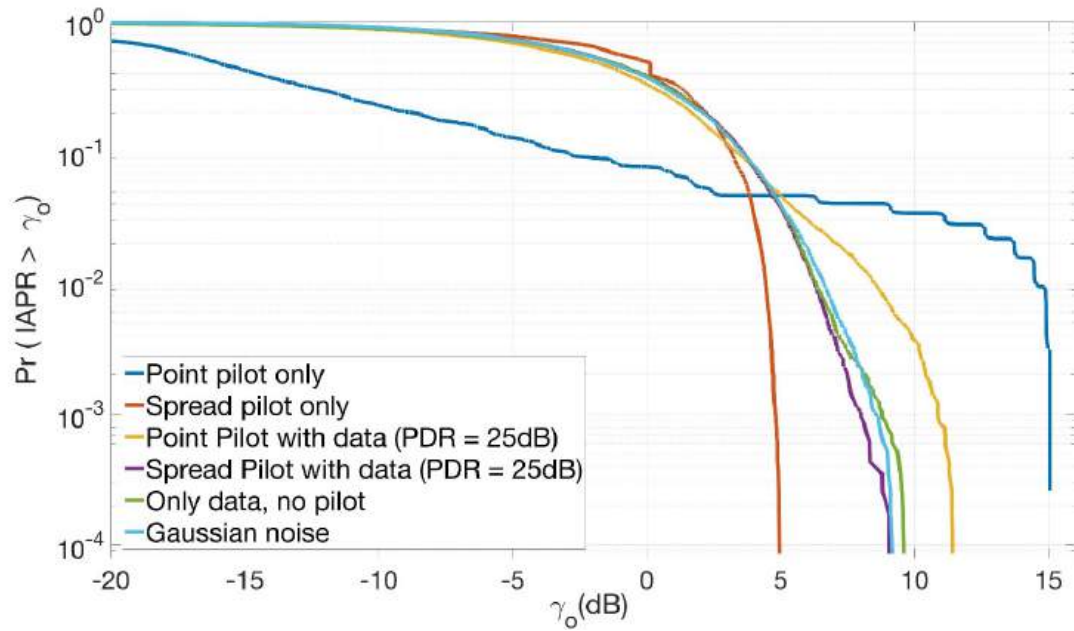


noise-like waveform



$$\text{Energy } |x_{s,dd}[k, l]|^2 \approx \frac{1}{MN}$$

# Peak to Average Power Ratio (PAPR)



Complementary CDF (CCDF) of Instantaneous to Average Power Ratio (IAPR) : RRC transmit filter with roll-off factors  $\beta_\tau = \beta_\nu = 0.6$ .

## IAPR Summary :

Spread pulsones  $\sim 5$  dB

vs.

point pulsones  $\sim 15$  dB

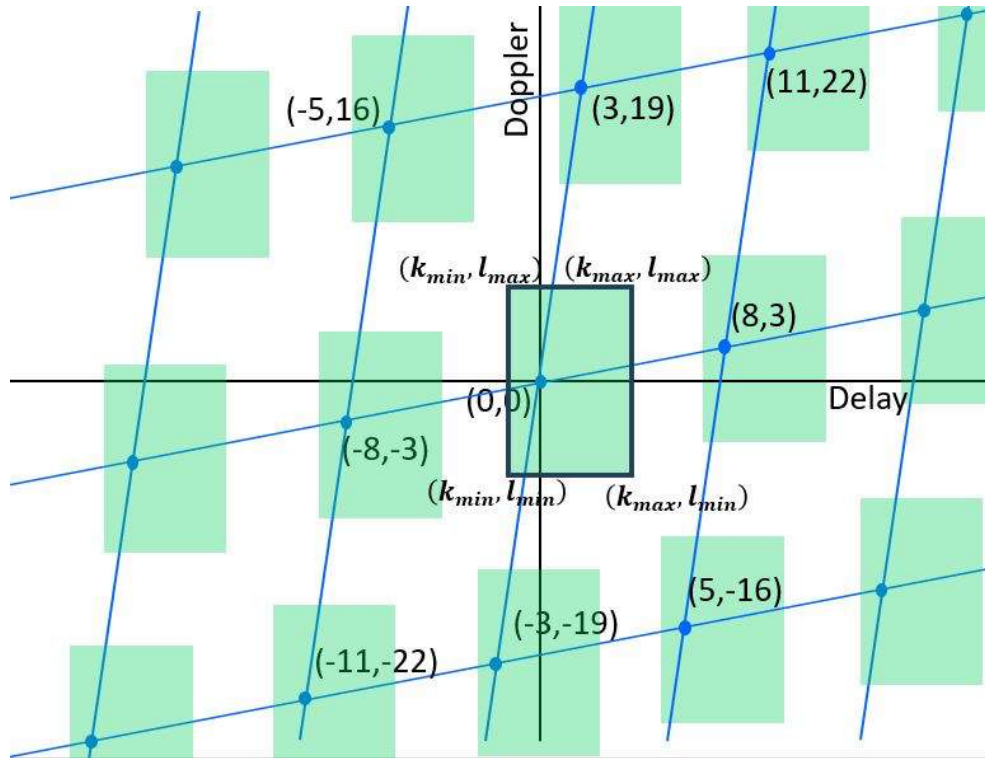
Data + spread pulsones  $\sim 8$  dB

vs.

Data + point pulsones  $\sim 12$  dB

# Geometry of Sensing

Blue dots:  $\Lambda_q$



Green rectangle with black border ( $\Omega$ ):  
support of  $h_{\text{eff}}[k, l]$

Translates of  $\Omega$  by  $\Lambda_q$  do not overlap :  
No DD domain aliasing

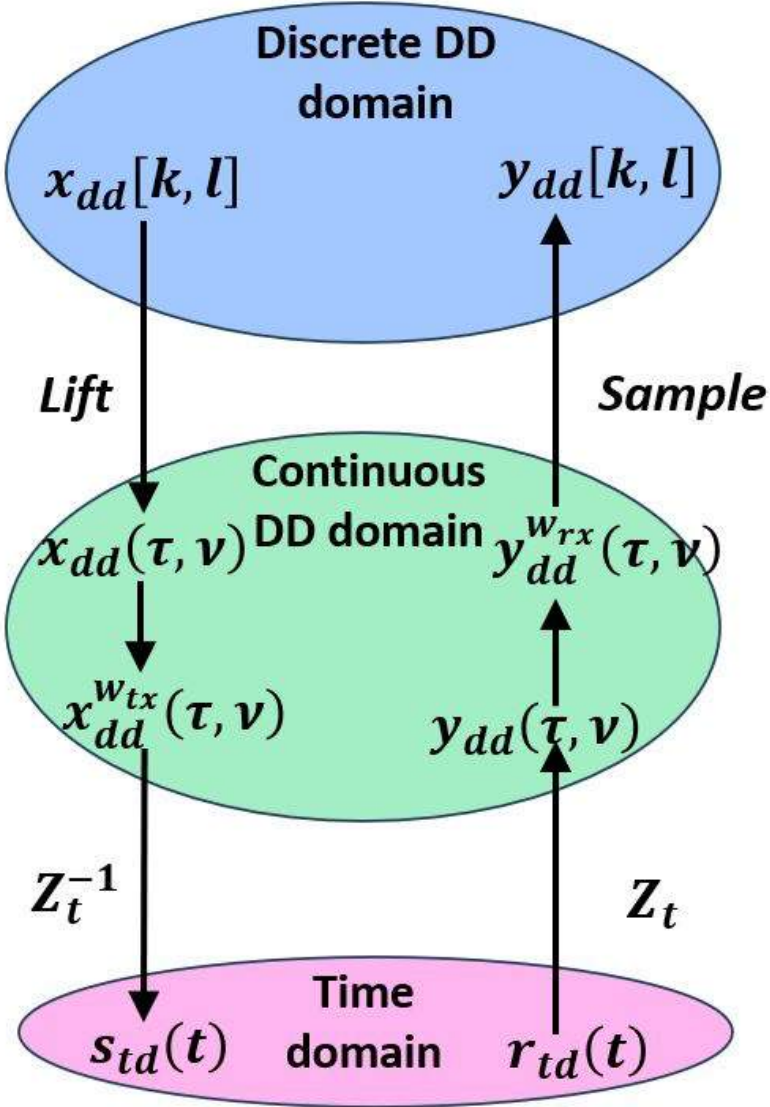
Possible to accurately estimate  $h_{\text{eff}}[k, l]$   
on  $\Lambda_{dd}$  from the response received within  $\Omega$

$$M = 11, N = 13, q = 5$$

$$\text{Pilot location: } (k_p, l_p) = (0, 0)$$

# Filters in the Discrete DD Domain

## Summary



Possible to construct spread waveforms with desirable characteristics by applying a chirp filter in the discrete DD domain to a point pulson

Low PAPR: about 5 dB versus 15 dB for the point Pulson

Possible to read off the I/O relation provided a second crystallization condition is satisfied w.r.t.  $\Lambda_q$