

Learning Resilient Radio Resource Management Policies With Graph Neural Networks

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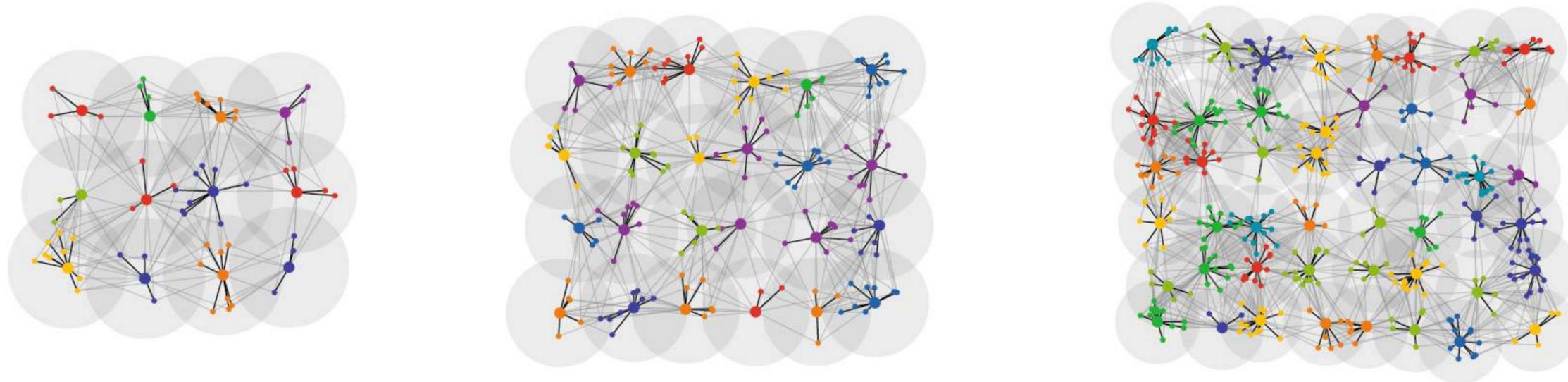
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Joint work with Mark Eisen (JHU-APL) and Alejandro Ribeiro (UPenn)



Autonomous Wireless Networks

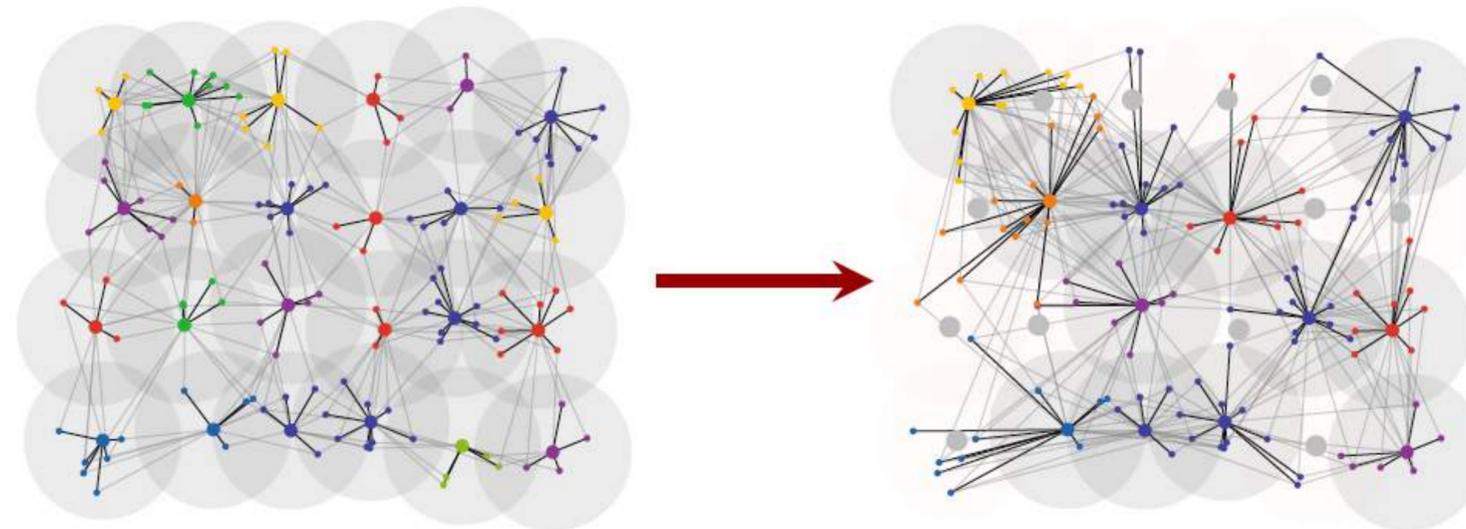
- Making operational decisions in wireless networks entails solving **large-scale constrained** optimization problems.
- Solving these problems is very challenging, leading to the design and use of **heuristic** methods.



- We can leverage **data** to **learn** better **autonomous** network management policies using **machine learning**

Learning to Adapt Problem Specifications

- Network realizations might exist in which enforcing performance constraints leads to **catastrophic** performance.
- Autonomous wireless networks must **remain operational** over a wide range of network realizations.

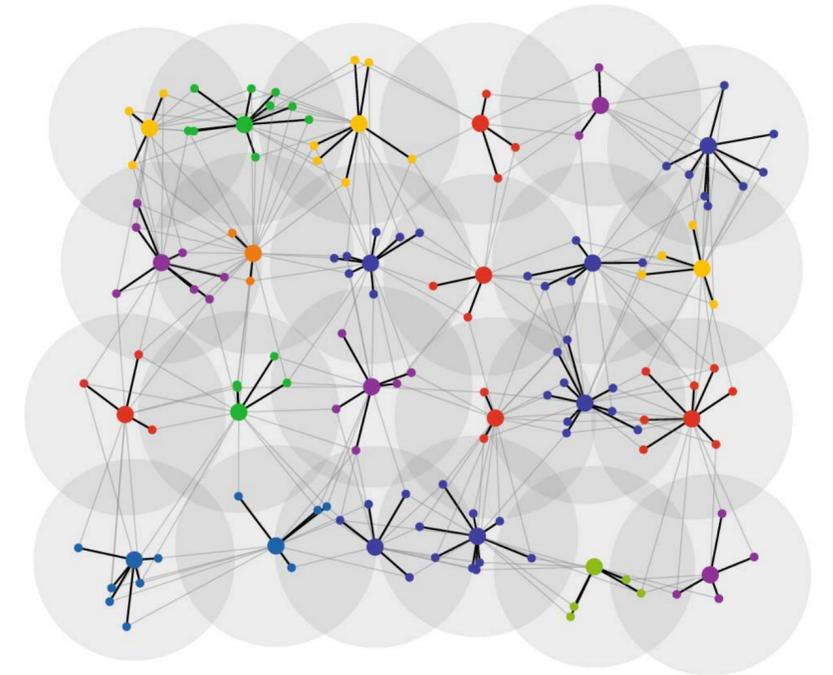


- We have developed a notion of **resilience**, where performance constraint levels are **adapted autonomously**.
 - Resilience is accomplished by **elastic relaxation of constraints** in proportion to marginal performance gains.

Wireless Resource Allocation

- Consider a wireless network with m access points $\{AP_1, \dots, AP_m\}$ and n users $\{UE_1, \dots, UE_n\}$.
- Let \mathcal{R}_i denote the subset of users associated to AP_i , $i = 1, \dots, m$.
 - We assume each user is only served by a single AP.
 - For every user UE_j , we use $[j]$ to denote its serving AP.
- Two types of resource allocation decisions we are interested in:
 - **User selection** $\gamma \in \{0,1\}^n$: Whether or not each user is served at each time slot.
 - No more than one user can be served by a given AP at a given time.
 - **Power control** $\mathbf{p} \in [0, P_{\max}]^m$: What transmit power to use at each AP.
- Shannon capacity of the link between $AP_{[j]}$ and UE_j : $f_j(\mathbf{H}, \mathbf{p}, \gamma) = \log_2(1 + \text{SINR}_j(\mathbf{H}, \mathbf{p}, \gamma))$.
- Signal-to-interference-plus-noise ratio (SINR) at UE_j :

$$\text{SINR}_j(\mathbf{H}, \mathbf{p}, \gamma) = \frac{\gamma_j |h_{[j]j}|^2 p_{[j]}}{N + \sum_{i=1, i \neq [j]}^m |h_{ij}|^2 p_i}.$$



Wireless Resource Allocation under Constraints

- The wireless network state is **stochastic** → Performance should be optimized over **long-term** windows.
- The **ergodic average rate** of each user is bounded by the **ergodic Shannon limit** $\mathbb{E}_{\mathbf{H}}[f_j(\mathbf{H}, \mathbf{p}, \gamma)]$.
- Certain applications may impose **requirements** on the **long-term** average performance of each user.

$$\begin{aligned} \max_{\mathbf{p}, \gamma, \mathbf{x}} \quad & \mathcal{U}(\mathbf{x}), \\ \text{s.t.} \quad & \mathbf{x} \leq \mathbb{E}_{\mathbf{H}} [\mathbf{f}(\mathbf{H}, \mathbf{p}(\mathbf{H}), \gamma(\mathbf{H}))], \\ & \mathbf{x} \geq \mathbf{f}_{\min}, \\ & \mathbf{p}(\mathbf{H}) \in [0, P_{\max}]^m, \\ & \gamma(\mathbf{H}) \in \{0, 1\}^n, \sum_{j \in \mathcal{R}_i} \gamma_j(\mathbf{H}) = 1, \forall i \in \{1, \dots, m\}. \end{aligned}$$

Resilient Operation of Wireless Networks

- In practice, system requirements may be infeasible in some extreme scenarios.
- They could be relaxed just enough to find a feasible solution, leading to resilient resource allocation policies.

$$\begin{aligned} P^*(\mathbf{z}) &= \max_{\mathbf{p}, \gamma, \mathbf{x}} \mathcal{U}(\mathbf{x}), \\ \text{s.t. } \mathbf{x} &\leq \mathbb{E}_{\mathbf{H}} [\mathbf{f}(\mathbf{H}, \mathbf{p}(\mathbf{H}), \gamma(\mathbf{H}))], \\ \mathbf{x} &\geq \mathbf{f}_{\min} - \mathbf{z}, \\ \mathbf{p}(\mathbf{H}) &\in [0, P_{\max}]^m, \\ \gamma(\mathbf{H}) &\in \{0, 1\}^n, \sum_{j \in \mathcal{R}_i} \gamma_j(\mathbf{H}) = 1, \forall i \in \{1, \dots, m\}. \end{aligned}$$

- $\mathbf{z} \geq \mathbf{0}$ denote the non-negative slack variables that adapt the requirements for all users in the networks.

Resilience by Compromise

$$\begin{aligned} P^*(\mathbf{z}) &= \max_{\mathbf{p}, \gamma, \mathbf{x}} \mathcal{U}(\mathbf{x}), \\ \text{s.t. } \mathbf{x} &\leq \mathbb{E}_{\mathbf{H}} [\mathbf{f}(\mathbf{H}, \mathbf{p}(\mathbf{H}), \gamma(\mathbf{H}))], \\ \mathbf{x} &\geq \mathbf{f}_{\min} - \mathbf{z}, \\ \mathbf{p}(\mathbf{H}) &\in [0, P_{\max}]^m, \\ \gamma(\mathbf{H}) &\in \{0, 1\}^n, \sum_{j \in \mathcal{R}_i} \gamma_j(\mathbf{H}) = 1, \forall i \in \{1, \dots, m\}. \end{aligned}$$

- We desire the slack \mathbf{z} to be as small as possible, so we associate a cost of $h(\mathbf{z}) = \frac{\alpha}{2} \|\mathbf{z}\|_2^2$ to it.

Definition: $\mathbf{z}^* \geq \mathbf{0}$ is the optimal value of the slack if and only if $\nabla_{\mathbf{z}} P^*(\mathbf{z})|_{\mathbf{z}=\mathbf{z}^*} = \alpha \mathbf{z}^*$.

- **Resilient** policies **compromise to adapt**: The more constraints are relaxed, the more the objective yields.
 - Elastic **relaxation** of constraints in proportion to **marginal performance gains** leads to **resilience**.

Finding the Optimal Slack Levels

- We can include the **slack** cost as a **regularization** term, and add the slacks as optimization variables:

$$\begin{aligned} P^* &= \max_{\mathbf{p}, \gamma, \mathbf{x}, \mathbf{z}} \mathcal{U}(\mathbf{x}) - \frac{\alpha}{2} \|\mathbf{z}\|_2^2, \\ \text{s.t. } \mathbf{x} &\leq \mathbb{E}_{\mathbf{H}} [\mathbf{f}(\mathbf{H}, \mathbf{p}(\mathbf{H}), \gamma(\mathbf{H}))], \\ \mathbf{x} &\geq \mathbf{f}_{\min} - \mathbf{z}, \\ \mathbf{p}(\mathbf{H}) &\in [0, P_{\max}]^m, \\ \gamma(\mathbf{H}) &\in \{0, 1\}^n, \sum_{j \in \mathcal{R}_i} \gamma_j(\mathbf{H}) = 1, \forall i \in \{1, \dots, m\}, \\ \mathbf{z} &\geq \mathbf{0}. \end{aligned}$$

Policy Parameterization

- In this classical formulation, resource allocation decisions must be **recalculated** for any given network state \mathbf{H} .
 - This makes learning and deploying such a policy **infeasible** in practice.
- We **parameterize** the power control and user selection policies: $\mathbf{p}(\mathbf{H}) \rightarrow \mathbf{p}(\mathbf{H}; \boldsymbol{\theta}^{\mathbf{p}})$, $\gamma(\mathbf{H}) \rightarrow \gamma(\mathbf{H}; \boldsymbol{\theta}^{\gamma})$.
- The advantage of **parameterization** is that we do not need to solve the problem online to find the decisions.

Unparameterized formulation

$$\begin{aligned}
 \max_{\mathbf{p}, \gamma, \mathbf{x}, \mathbf{z}} \quad & \mathcal{U}(\mathbf{x}) - \frac{\alpha}{2} \|\mathbf{z}\|_2^2, \\
 \text{s.t.} \quad & \mathbf{x} \leq \mathbb{E}_{\mathbf{H}} [\mathbf{f}(\mathbf{H}, \mathbf{p}(\mathbf{H}), \gamma(\mathbf{H}))], \\
 & \mathbf{x} \geq \mathbf{f}_{\min} - \mathbf{z}, \\
 & \mathbf{p}(\mathbf{H}) \in [0, P_{\max}]^m, \mathbf{z} \geq \mathbf{0}, \\
 & \gamma(\mathbf{H}) \in \{0, 1\}^n, \sum_{j \in \mathcal{R}_i} \gamma_j(\mathbf{H}) = 1, \forall i.
 \end{aligned}$$



Parameterized formulation

$$\begin{aligned}
 \max_{\boldsymbol{\theta}^{\mathbf{p}}, \boldsymbol{\theta}^{\gamma}, \mathbf{x}, \mathbf{z}} \quad & \mathcal{U}(\mathbf{x}) - \frac{\alpha}{2} \|\mathbf{z}\|_2^2, \\
 \text{s.t.} \quad & \mathbf{x} \leq \mathbb{E}_{\mathbf{H}} [\mathbf{f}(\mathbf{H}, \mathbf{p}(\mathbf{H}; \boldsymbol{\theta}^{\mathbf{p}}), \gamma(\mathbf{H}; \boldsymbol{\theta}^{\gamma}))], \\
 & \mathbf{x} \geq \mathbf{f}_{\min} - \mathbf{z}, \\
 & \mathbf{p}(\mathbf{H}; \boldsymbol{\theta}^{\mathbf{p}}) \in [0, P_{\max}]^m, \mathbf{z} \geq \mathbf{0} \\
 & \gamma(\mathbf{H}; \boldsymbol{\theta}^{\gamma}) \in \{0, 1\}^n, \sum_{j \in \mathcal{R}_i} \gamma_j(\mathbf{H}; \boldsymbol{\theta}^{\gamma}) = 1, \forall i.
 \end{aligned}$$

Learning in the Dual Domain

- We move to the Lagrangian dual domain and associate a set of **dual variables** λ, μ to the constraints.
 - The remaining constraints on $\mathbf{p}, \gamma, \mathbf{z}$ are assumed **implicit** (i.e., **automatically satisfied** by the parameterization)

- The Lagrangian function can then be written as

$$\mathcal{L}(\theta^{\mathbf{p}}, \theta^{\gamma}, \mathbf{x}, \mathbf{z}, \lambda, \mu) = \mathcal{U}(\mathbf{x}) - \frac{\alpha}{2} \|\mathbf{z}\|_2^2 - \lambda^T \left[\mathbf{x} - \mathbb{E}_{\mathbf{H}} [\mathbf{f}(\mathbf{H}, \mathbf{p}(\mathbf{H}; \theta^{\mathbf{p}}), \gamma(\mathbf{H}; \theta^{\gamma}))] \right] - \mu^T [\mathbf{f}_{\min} - \mathbf{z} - \mathbf{x}] .$$

- We then seek to maximize the Lagrangian over the primal variables while minimizing it over λ, μ :

$$D^{\star} = \min_{\lambda, \mu} \max_{\theta^{\mathbf{p}}, \theta^{\gamma}, \mathbf{x}, \mathbf{z}} \mathcal{L}(\theta^{\mathbf{p}}, \theta^{\gamma}, \mathbf{x}, \mathbf{z}, \lambda, \mu)$$

The Duality Gap is Bounded

- The duality gap of the unparameterized problem is null, but that is not the case with parameterization.
- Nevertheless, the duality gap with parameterization is bounded for **near-universal** parameterizations.

Theorem: Under certain assumptions, for **near-universal** parameterizations with degree ϵ and an **L -Lipschitz** performance function f , the dual value D^* is bounded as

$$P^* - \epsilon L \|[\lambda^*; \mu^*] \|_1 \leq D^* \leq P^*,$$

- The closeness of the two problems allows us to use stochastic primal-dual methods to find the optimal policies.

Iterative Unsupervised Primal-Dual Updates

$$\mathcal{L}(\theta^{\mathbf{p}}, \theta^{\gamma}, \mathbf{x}, \mathbf{z}, \lambda, \mu) = \mathcal{U}(\mathbf{x}) - \frac{\alpha}{2} \|\mathbf{z}\|_2^2 - \lambda^T [\mathbf{x} - \mathbb{E}_{\mathbf{H}} [\mathbf{f}(\mathbf{H}, \mathbf{p}(\mathbf{H}; \theta^{\mathbf{p}}), \gamma(\mathbf{H}; \theta^{\gamma}))]] - \mu^T [\mathbf{f}_{\min} - \mathbf{z} - \mathbf{x}] .$$

Update policy parameters

$$\theta_{k+1}^{\mathbf{p}} = \theta_k^{\mathbf{p}} + \eta_{\mathbf{p}} \nabla_{\theta^{\mathbf{p}}} \left\{ \lambda^T \mathbb{E}_{\mathbf{H}} [\mathbf{f}(\mathbf{H}, \mathbf{p}(\mathbf{H}), \gamma(\mathbf{H}))] \right\}$$

$$\theta_{k+1}^{\gamma} = \theta_k^{\gamma} + \eta_{\gamma} \nabla_{\theta^{\gamma}} \left\{ \lambda^T \mathbb{E}_{\mathbf{H}} [\mathbf{f}(\mathbf{H}, \mathbf{p}(\mathbf{H}), \gamma(\mathbf{H}))] \right\}$$

Given a dataset with a finite number of samples, expectations are replaced with **empirical means**.

Update rate and slack variables

$$\mathbf{x}_{k+1} = \mathbf{x}_k + \eta_{\mathbf{x}} \left(\nabla_{\mathbf{x}_k} \{ \mathcal{U}(\mathbf{x}_k) \} + \mu_k - \lambda_k \right)$$

$$\mathbf{z}_{k+1} = \left[\mathbf{z}_k + \eta_{\mathbf{z}} (\mu_k - \alpha \mathbf{z}_k) \right]_+$$

Update dual variables

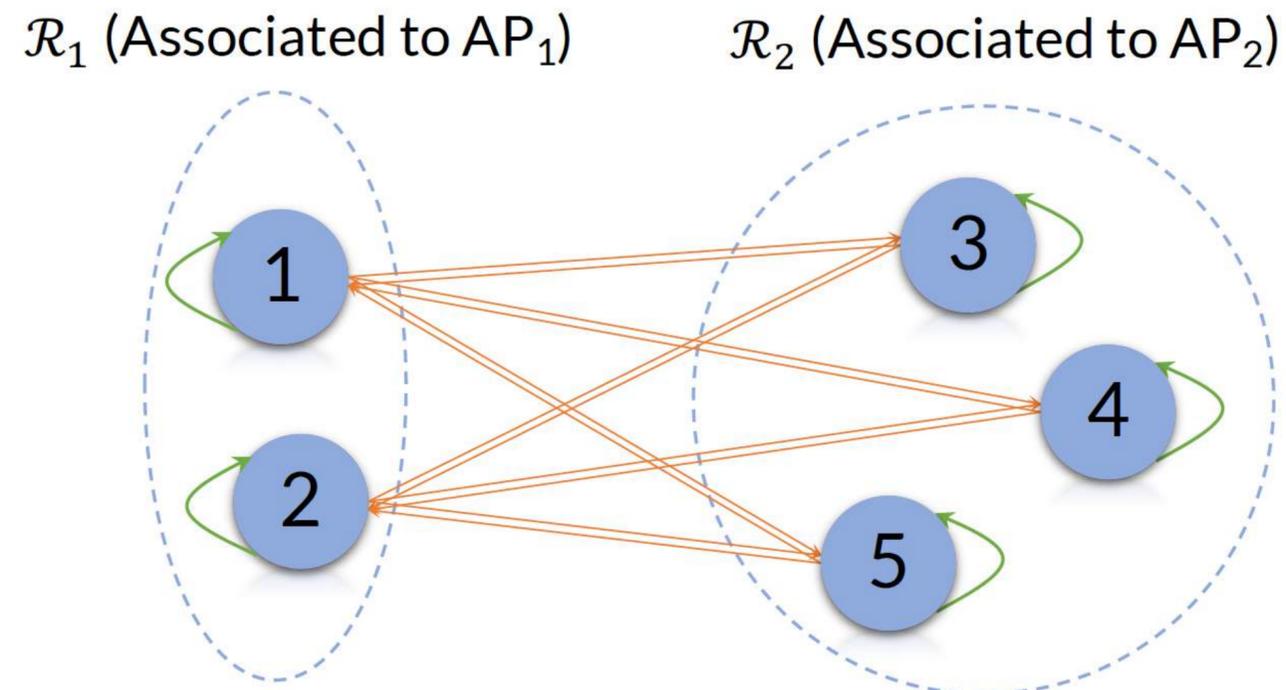
$$\lambda_{k+1} = \left[\lambda_k - \eta_{\lambda} \left(\mathbf{x}_k - \mathbb{E}_{\mathbf{H}} [\mathbf{f}(\mathbf{H}, \mathbf{p}(\mathbf{H}), \gamma(\mathbf{H}))] \right) \right]_+$$

$$\mu_{k+1} = \left[\mu_k - \eta_{\mu} (\mathbf{f}_{\min} - \mathbf{z}_k - \mathbf{x}_k) \right]_+$$

$k \leftarrow k + 1$

Modeling the Network Data Structure as a Graph

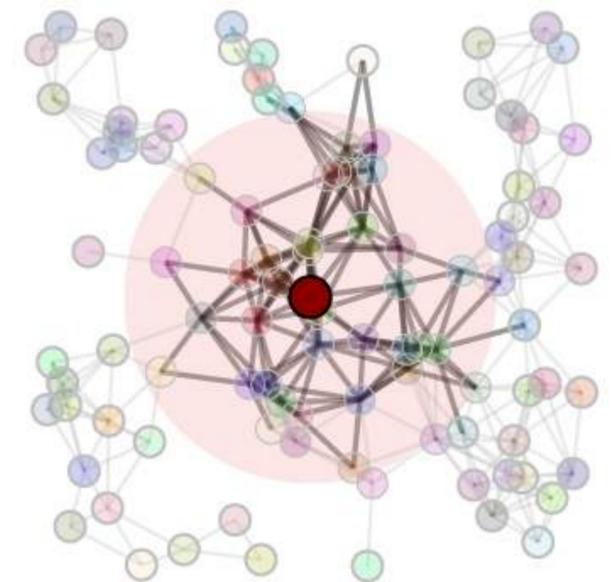
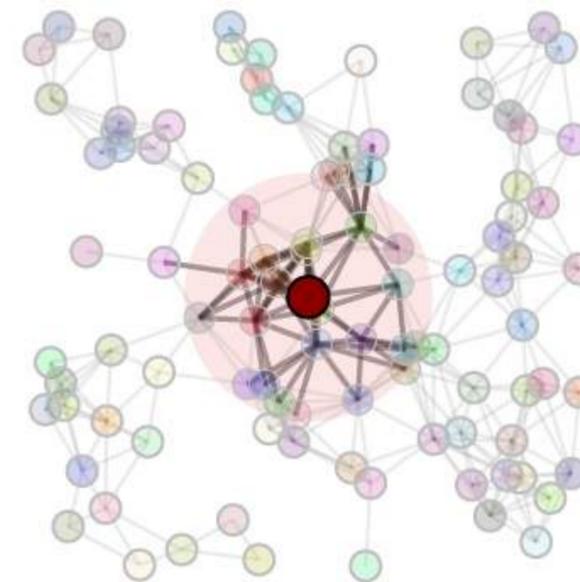
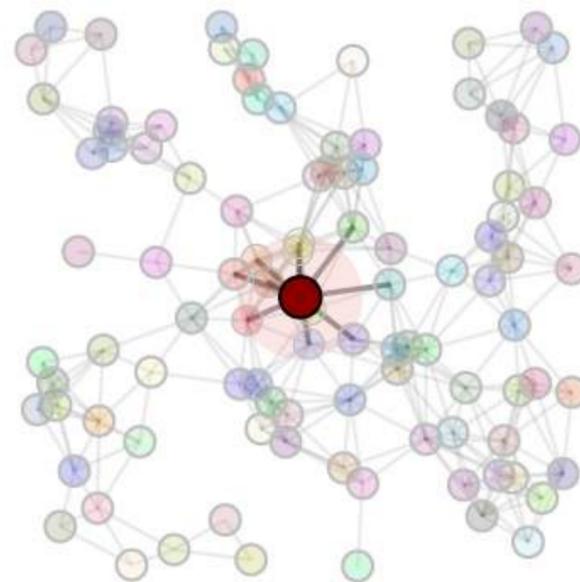
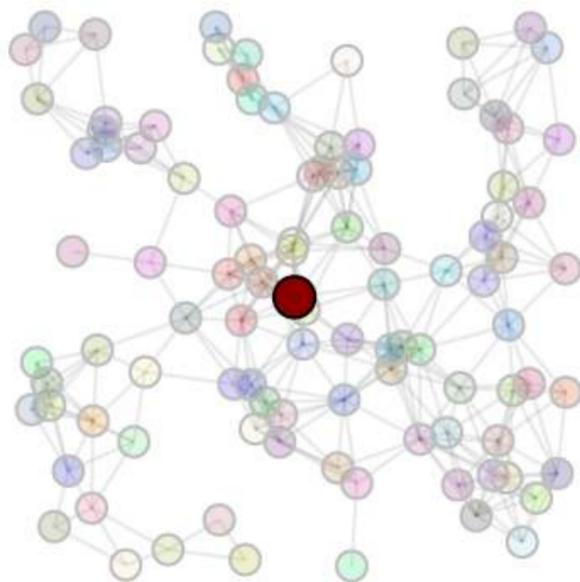
- We consider the data structure in the form of a directed graph $\mathcal{G} = (\mathcal{V}, \mathcal{E}, w)$.
 - $\mathcal{V} = \{1, \dots, n\}$ denotes the set of nodes, each representing a user.
 - $\mathcal{E} \subseteq \mathcal{V} \times \mathcal{V}$ denotes the set of directed edges, with **self-loop signal** edges, and **cross-AP interference** edges.
 - $w : \mathcal{E} \rightarrow \mathbb{R}$ denotes the edge weight function, which we define as $w(h_{ij}) \propto \log \left(P_{\max} |h_{[i]j}|^2 / N \right)$.



Graph Neural Network (GNN) Parameterizations

- We leverage graph neural network (GNN) architectures to parameterize the resource allocation policies.
- Each node $v \in \mathcal{V}$ is endowed with a vector of initial features $\mathbf{y}_v^0 \in \mathbb{R}^{F_0}$ (e.g., proportional-fairness ratio, SNR, etc.)
- **Node features** are updated through a sequence of L **message-passing GNN layers** as

$$\mathbf{y}_v^l = \Psi^l \left(\mathbf{y}_v^{l-1}, w(v, v), \left\{ \mathbf{y}_u^{l-1}, w(u, v) \right\}_{u \in \mathcal{V} \setminus \{v\} : (u, v) \in \mathcal{E}}; \theta^l \right), \forall l \in \{1, 2, \dots, L\}.$$



GNN Outputs Drive Resource Allocation Decisions

- Let $\mathbf{s}_v = \mathbf{y}_v^L \in \mathbb{R}^{F_L}, \forall v \in \mathcal{V}$ denote the final node features, or **node embeddings**, at the output of the GNN.
- The **node embeddings** are converted to resource allocation decisions using linear projections $\mathbf{b}_p, \mathbf{b}_\gamma \in \mathbb{R}^{F_L}$.

- Power control: For each AP $_i, i \in \{1, \dots, m\}$, we derive its transmit power level as

$$p_i = P_{\max} \cdot \sigma \left(\frac{1}{|\mathcal{R}_i|} \mathbf{b}_p^T \sum_{j \in \mathcal{R}_i} \mathbf{s}_j \right).$$

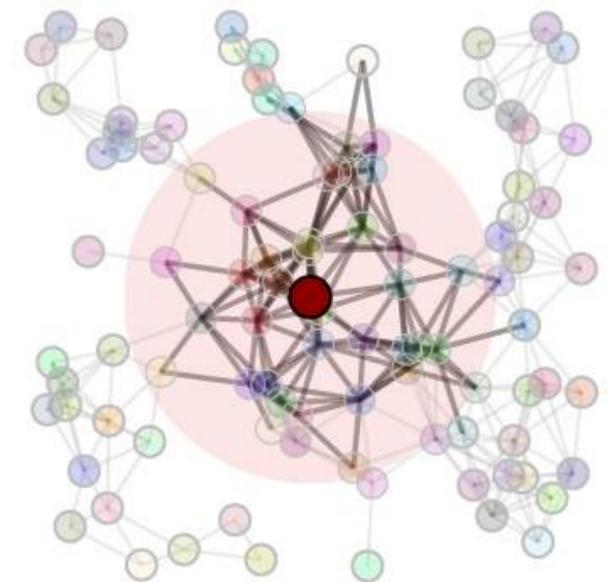
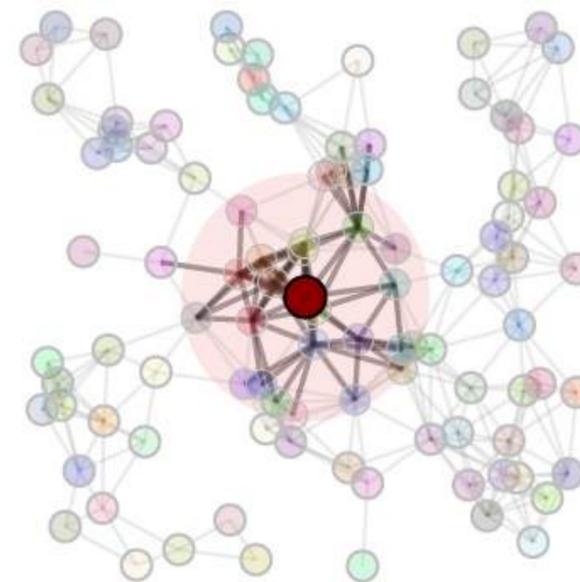
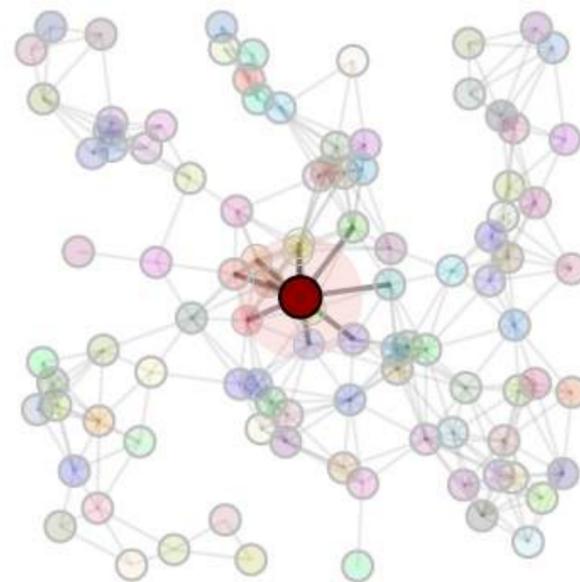
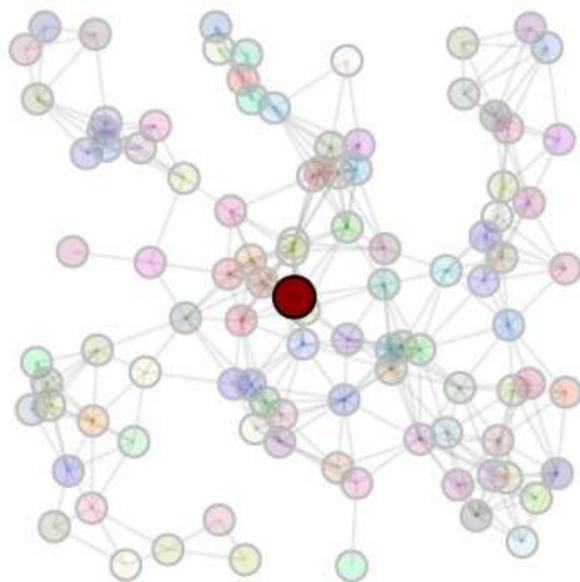
- User selection: For each AP $_i, i \in \{1, \dots, m\}$, each of its associated users UE $_j, j \in \mathcal{R}_i$ is served with probability

$$\gamma_j = \text{Softmax}_{\mathcal{R}_i} \left(\mathbf{b}_\gamma^T \mathbf{s}_j \right) = \frac{\exp \left(\mathbf{b}_\gamma^T \mathbf{s}_j \right)}{\sum_{k \in \mathcal{R}_i} \exp \left(\mathbf{b}_\gamma^T \mathbf{s}_k \right)}.$$

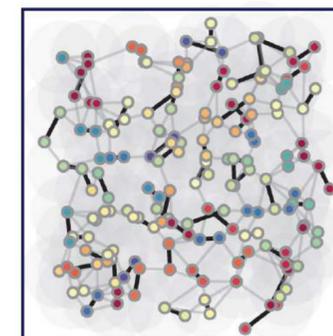
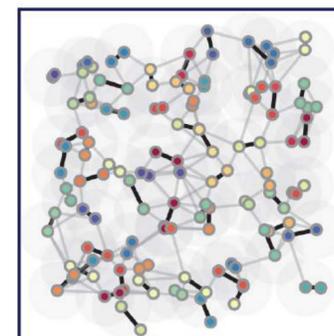
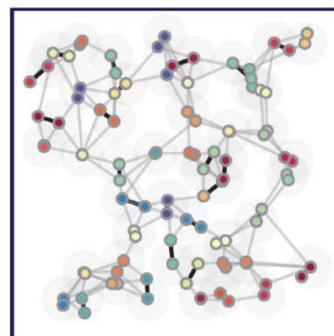
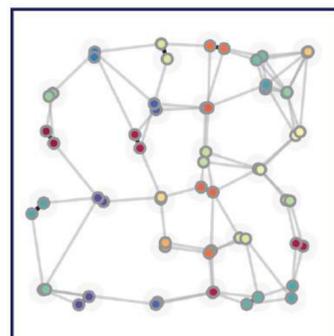
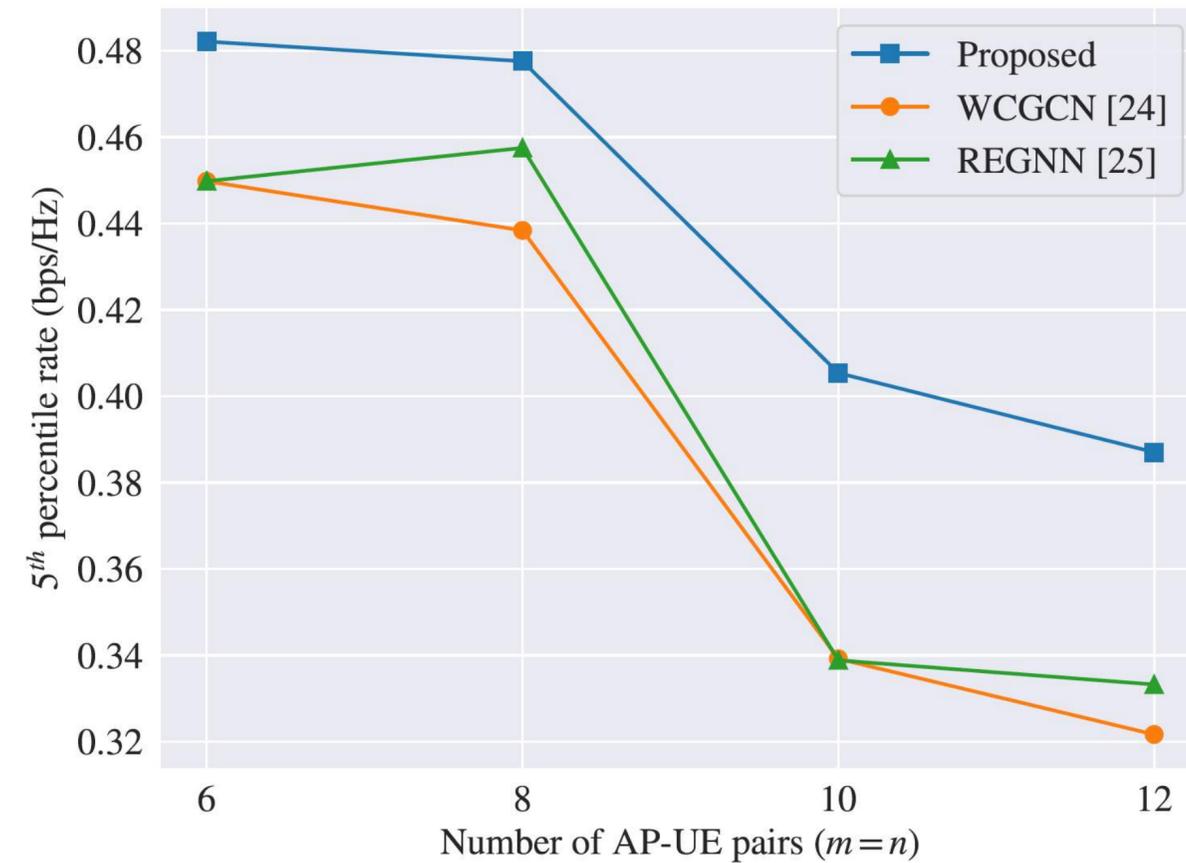
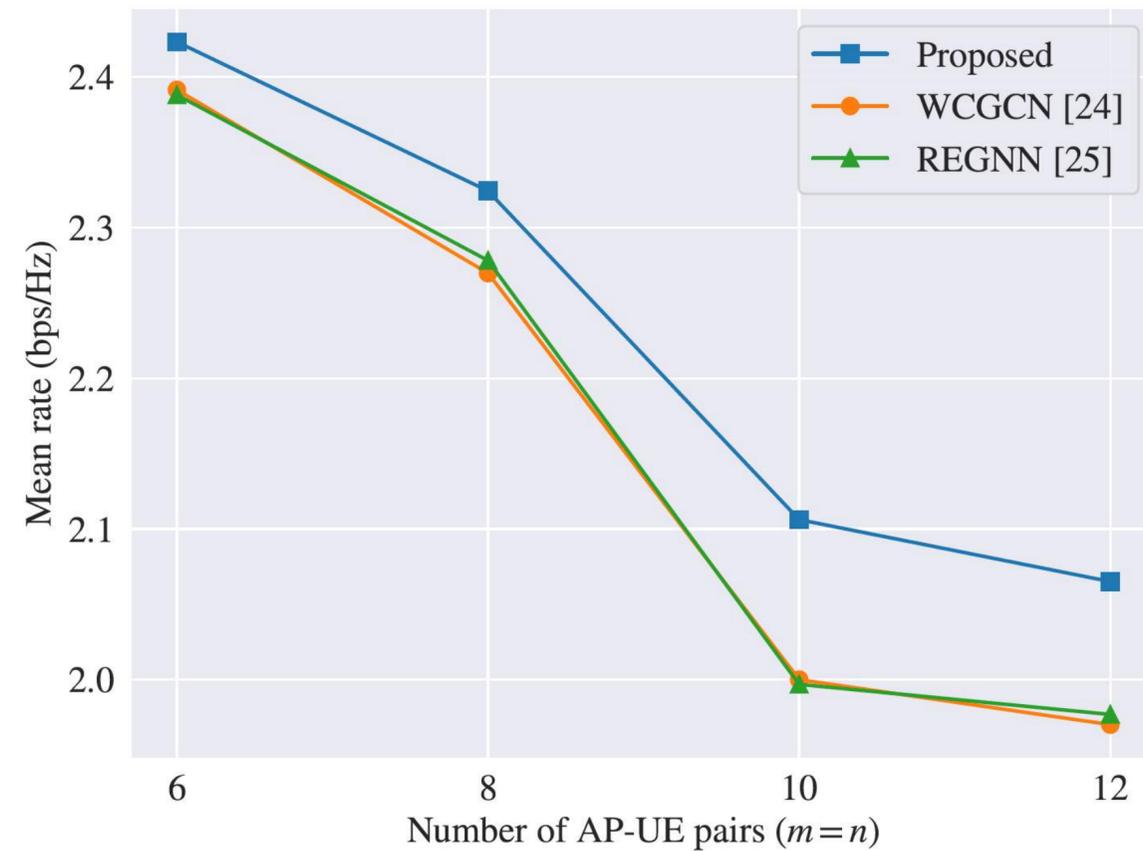
GNNs Are Scalable And Transferable

- GNNs exploit the **regularities** of the graph, therefore leading to the **scalability** of GNN-based policies.
- GNNs **transfer** across different scales: GNNs trained on small graphs can be executed on **larger** graphs.

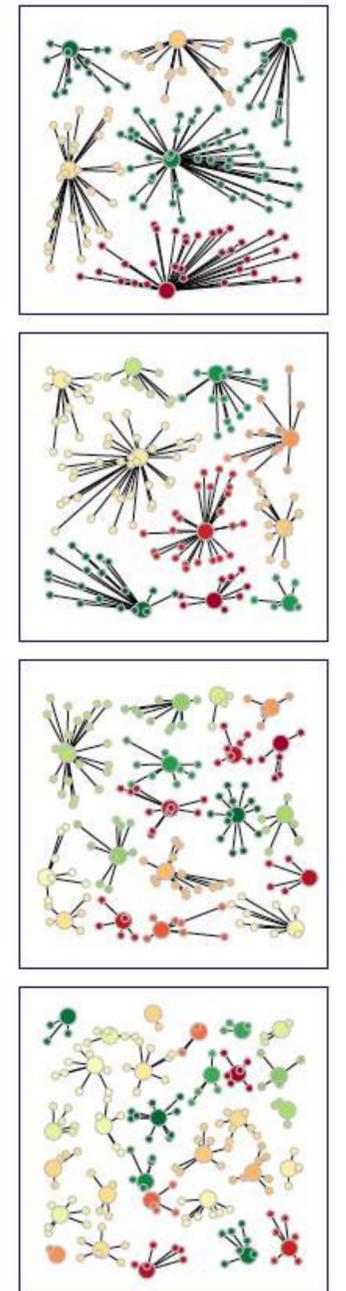
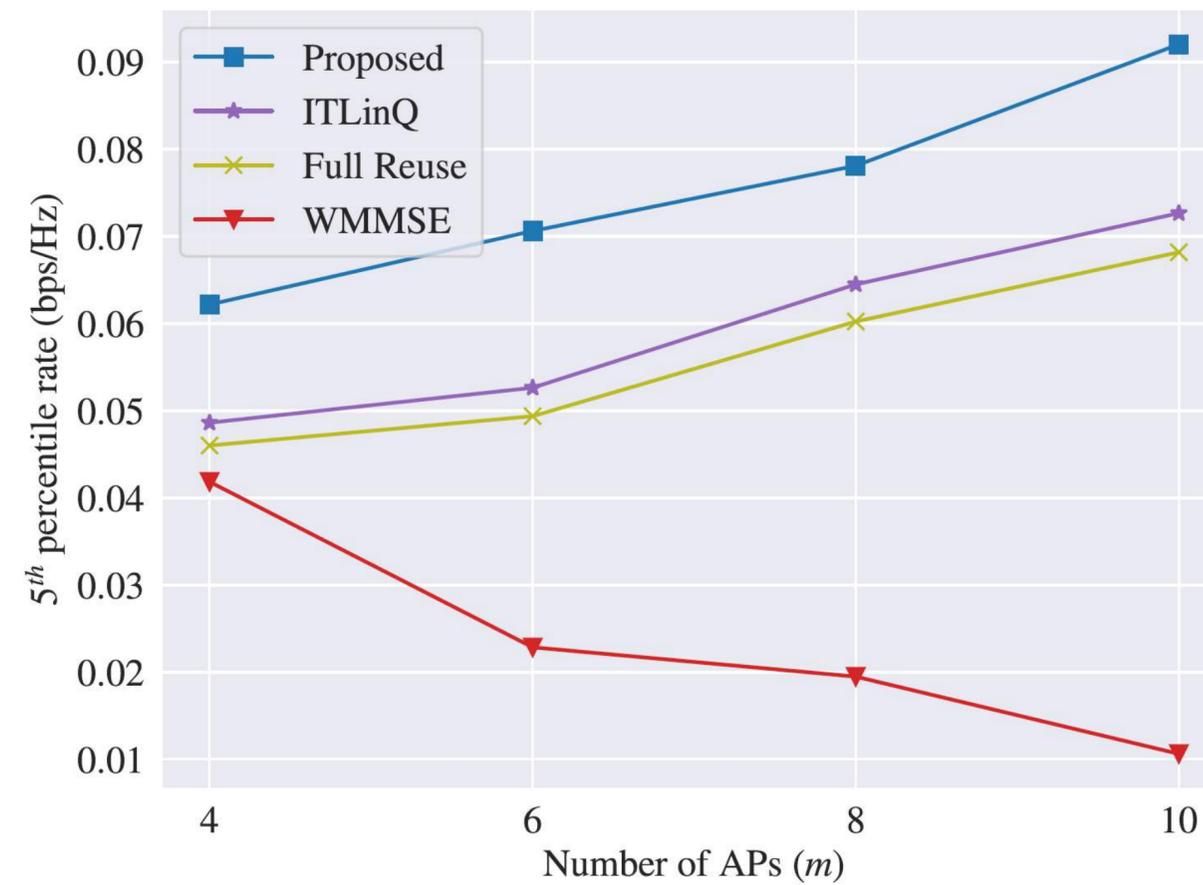
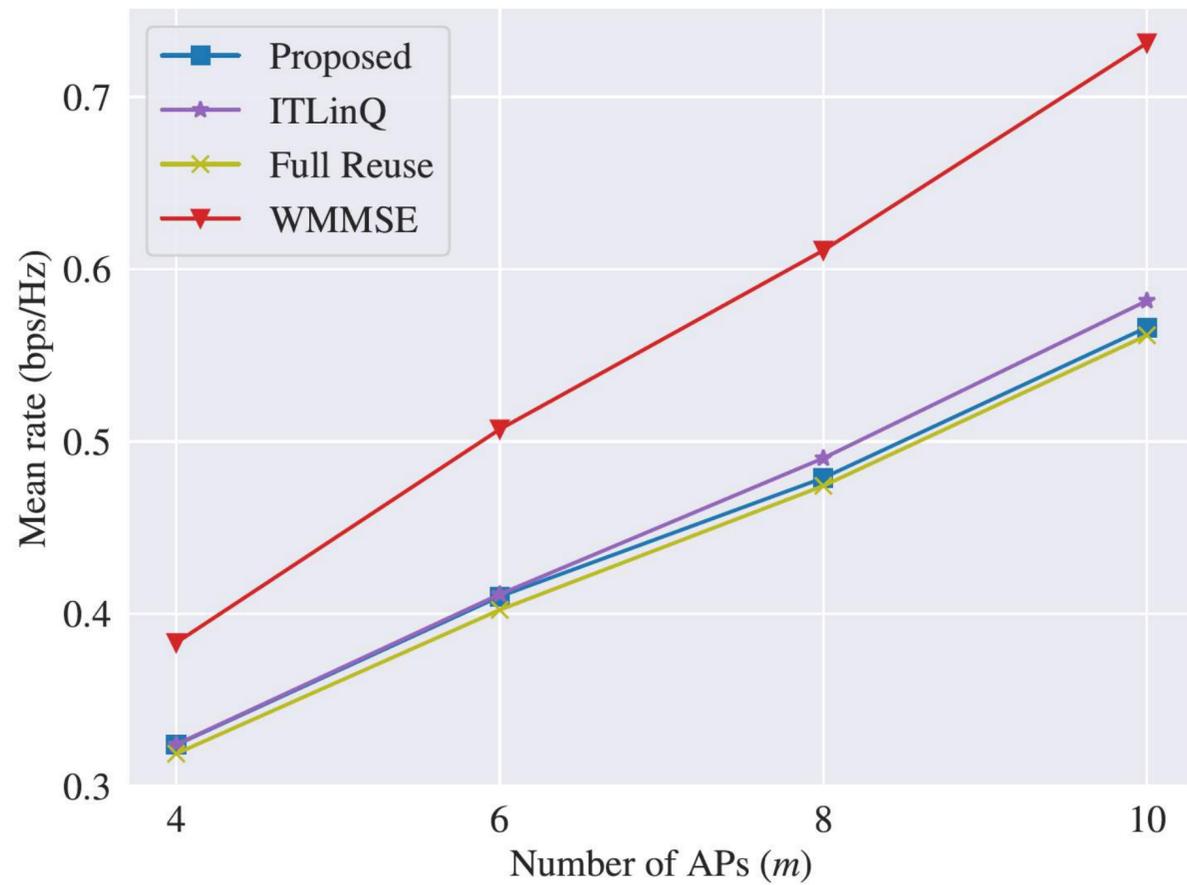
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Scalability of Power Control Policies ($m = n$)

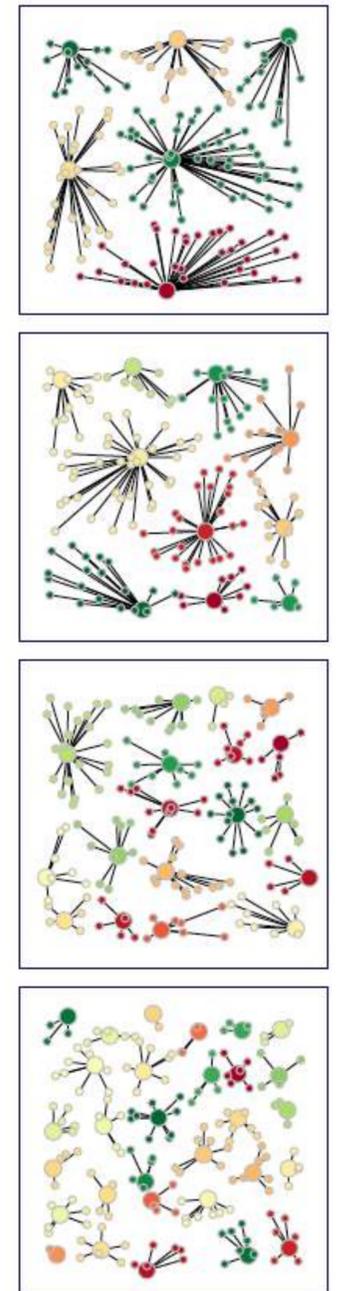
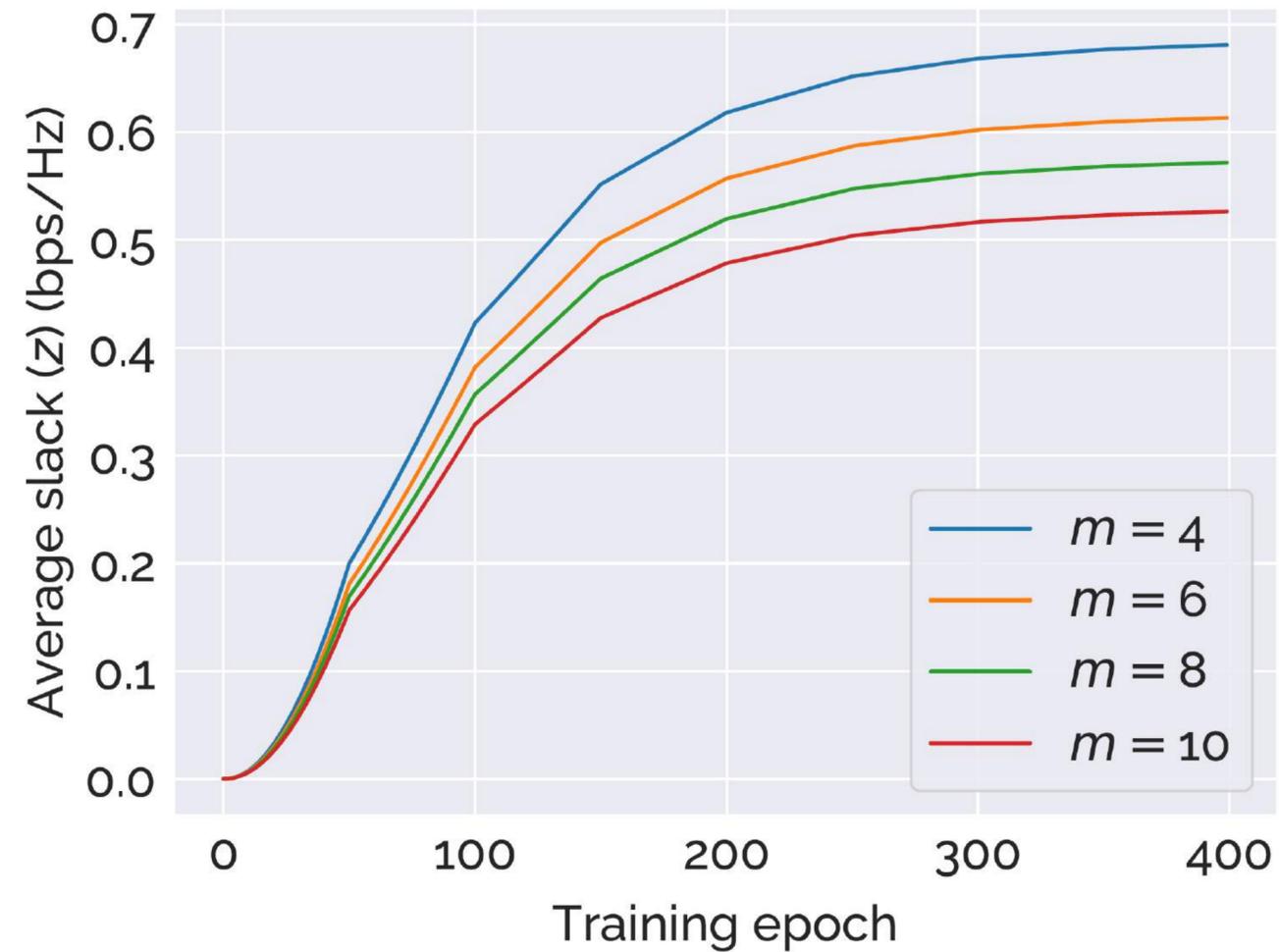


Scalability with the Number of APs ($n = 40$)



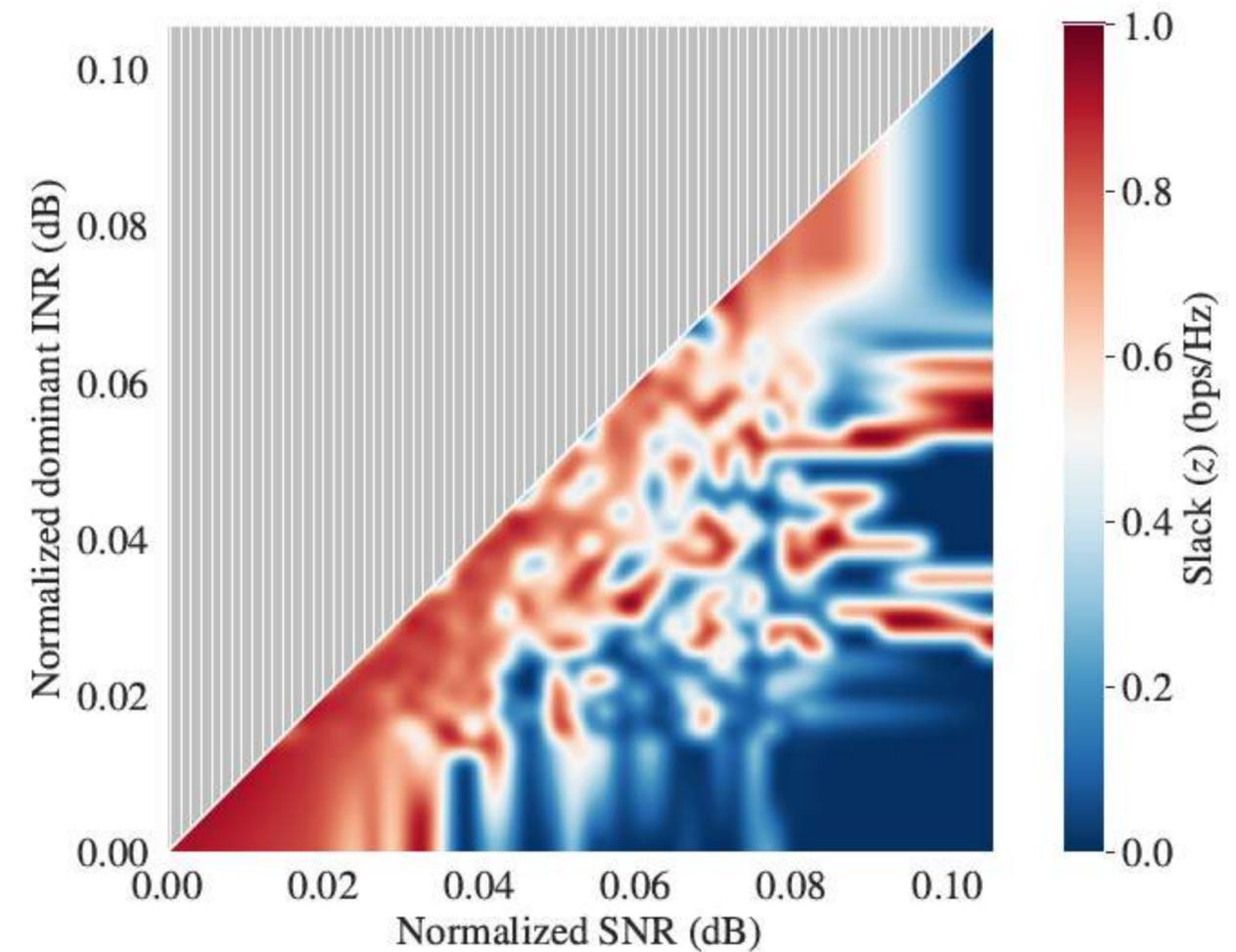
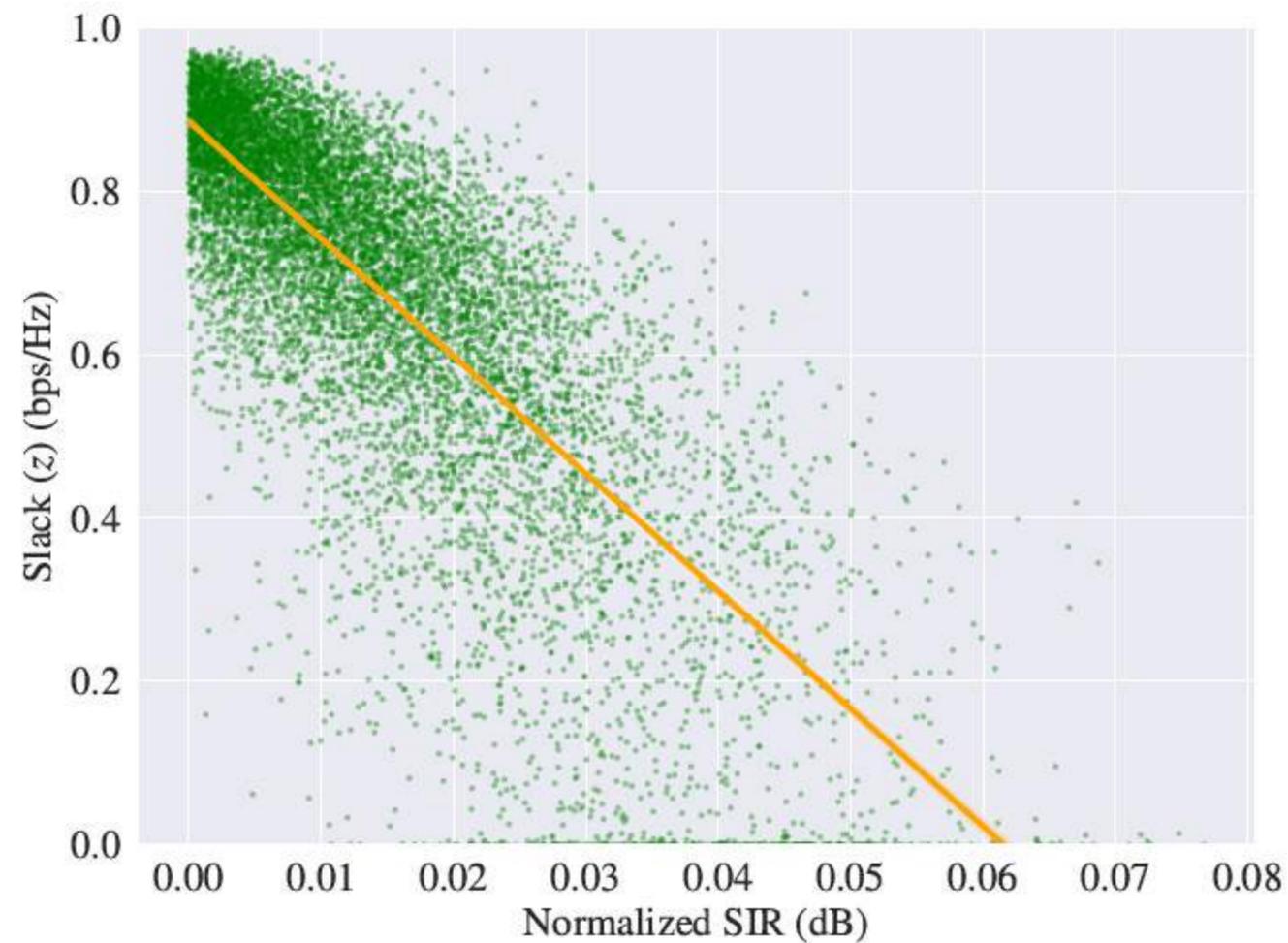
Optimal Slack Values Reflect Network Load

Constraints are relaxed further as the transmission resources become more limited.

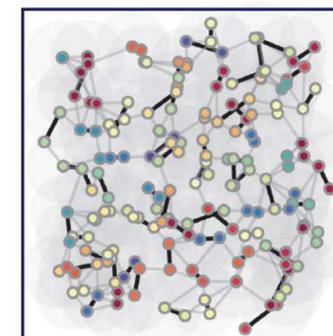
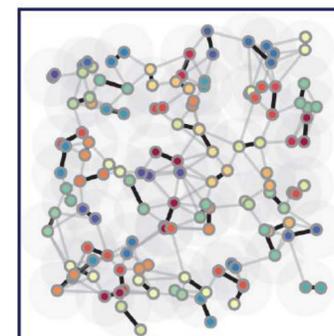
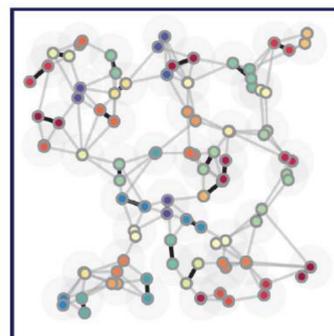
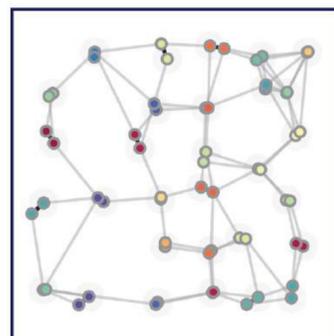
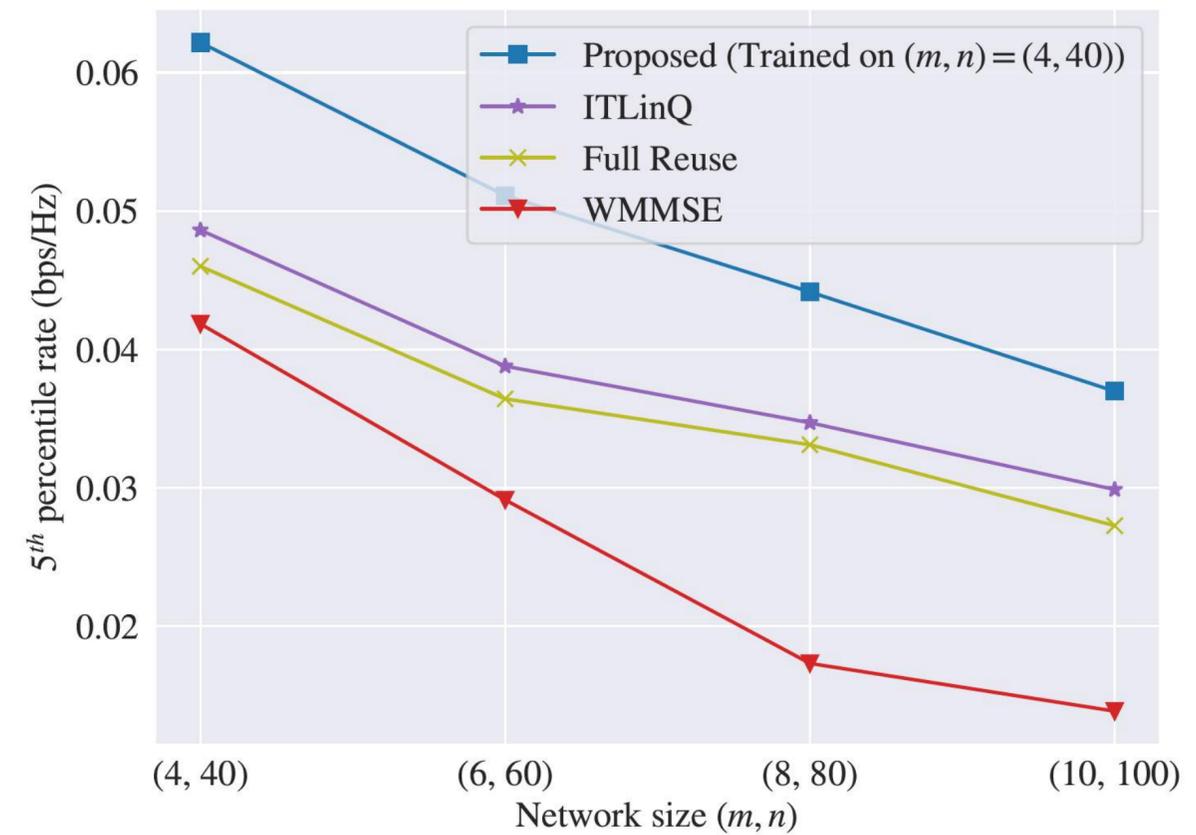
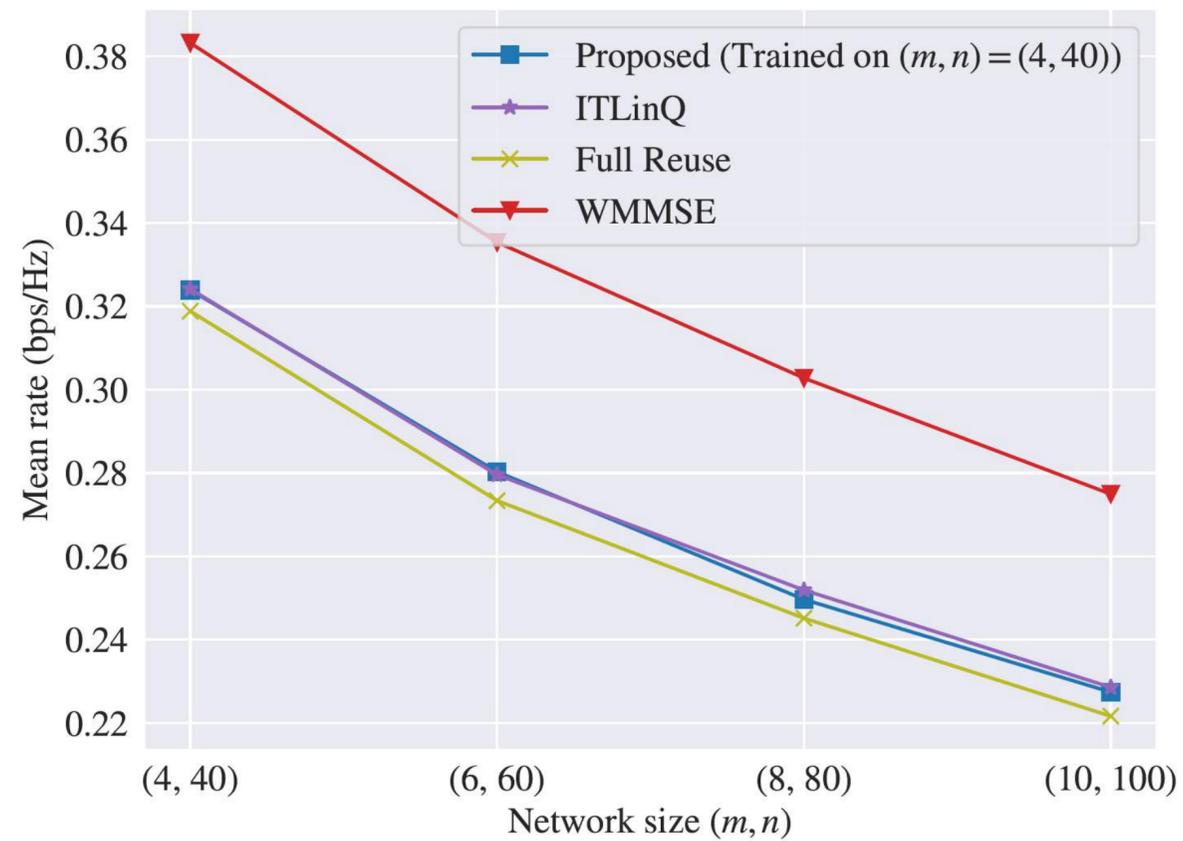


Slack Grows for Users in Unfavorable Conditions

Users with low signal-to-noise ratio (SNR) and/or high interference-to-noise ratio (INR) levels have higher slacks.

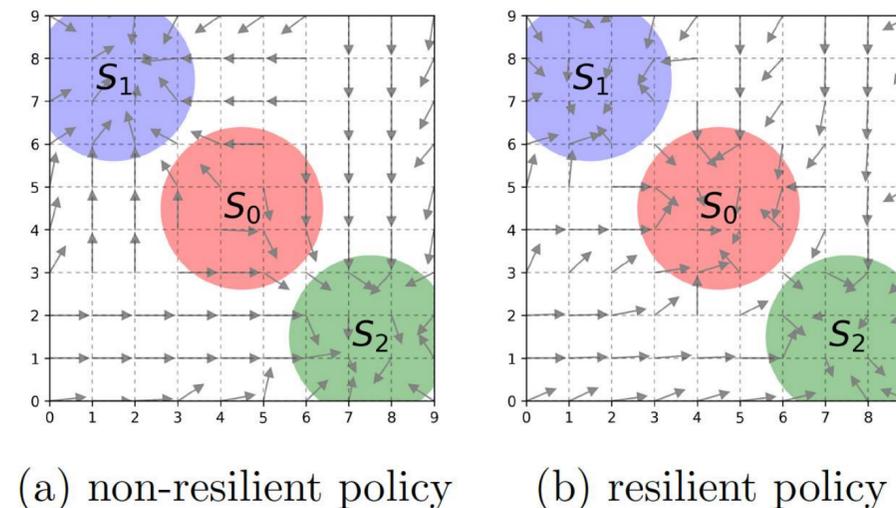
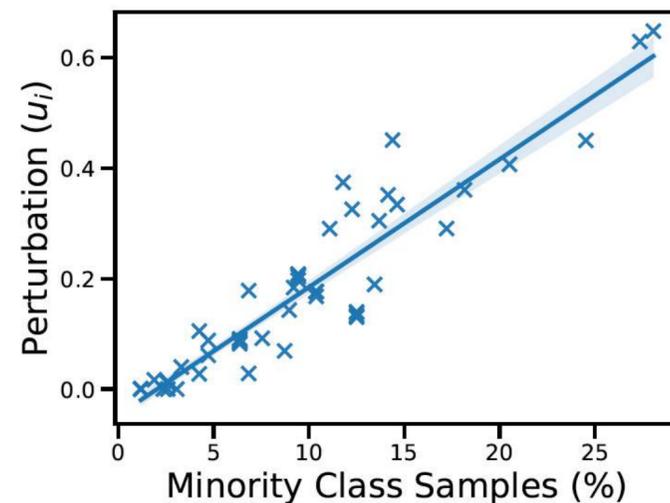


Transferability of GNN-Based Policies



Concluding Remarks

- We considered the problem of allocating limited resources in wireless networks under requirements/constraints.
- Under adverse, extreme conditions, enforcing such requirements may lead to catastrophic failure.
- We introduced the notion of resilience, which adapts the requirements just enough to make them feasible.
- GNN-based resilient user selection and power control policies outperform baselines in multi-user wireless networks.
- The notion of resilience is also applicable to other areas, such as federated learning and reinforcement learning.



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