Wireless System Design using Optimization and Machine Learning Andrea Goldsmith



ARFL/AFOSR Center of Excellence Seminar March 8, 2021

Future Wireless Networks

Require agility and robustness



Enabling Technologies for Future Wireless Networks

- Rethinking cellular and ad-hoc network design
- Utilizing more spectrum (mmw)
- Very low power radios
- Massive MIMO (multiple antennas)
- New PHY and MAC techniques
- Multihop routing
- Edge computing and caching
- Fog optimization
- Machine learning



ML in Wireless Systems



- We have shown that ML "trumps theory":
 - In equalization of unknown/complex channels
 - In joint source and channel coding of text
- Application of ML to wireless system design
 - Detection in unknown channels (molecular, mmW, nonlinear)
 - Modulation and detection
 - Encoding and decoding
 - MIMO transmission and reception
 - Joint source and channel encoding/decoding
 - Network resource allocation

ML algorithm and training optimization needed

• That is where comm/network theory come in

ML in PHY layer design



- PHY transmitter and receiver design typically based on a mathematical channel mode
 - Accurate channel models may not be known
 - Models may not enable computationally efficient PHY algorithms (decoding, detection, message recovery)
- How does an ML-based approach solve this?
 - No need for an underlying model or all its parameters
 - Learn the design directly from data

BER for Poisson/Molecular



SBRNN outperforms VD with perfect CSI if *M* is less than the memory order

SBRNN outperforms VD with CSI estimation error

Lower *M* and *L* correspond to lower complexity in the detection algorit

mmWave Channel Model

- Channel Model*
 - Sparse time clustering
 - Long memory
- Massive MIMO
 - Compensates for severe pathloss
 - Enables aggressive spatial reuse
 - Needs to handle highly directional links
- Hard to estimate accurate CSI
- Prohibitive complexity of ML detection

* Samimi et al. 3-D millimeter-wave statistical channel model for 5G wireless system design.



Uplink Detection with SBRNN

- Single cell mmw uplink (sparse)
- The BS has multiple antennas
- Each device has a single antenna
 - Can be extended to multi-antenna case
- Use Sliding BRNN detector to detect the received symbols at the BS without any knowledge of CSI





((R))

BS

UTs

Performance: SBRNN vs Viterbi

- SBRNN is close to the optimal Viterbi, and outperforms Viterbi-cut
- SBRNN trained on a single SNR range generalizes well to other SNRs.

Parameter	Value
Carrier Frequency	28 GHz
Bandwidth	800 MHz
Transmit Power	11 dBm
Tx-Rx Separation distance	60 m
Tx-Rx Antenna Gains	24.5 dBi
Modulation Scheme	BPSK



Performance: SBRNN w/ CSI imperfection



Training Overhead and Run Time Efficiency



- Training: # of samples needed for 90% detection accuracy
- Testing: run time of detecting one 200-bit message¹

Receive Antennas	Sliding BRNN	Viterbi
4	0.244 S	12.461 S
128	0.264 s	52.681 s

ViterbiNet: learn just p(y|x)

Algorithm 1 Viterbi Algorithm

- 1: Input: Block of channel outputs y^t , where t > l.
- 2: <u>Initialization</u>: Set k = 1, and fix $\tilde{c}_0(\tilde{s}) = 0$, $\forall \tilde{s} \in S^l$.
- 3: Compute

$$\tilde{c}_{k}\left(\tilde{s}\right) = \min_{\boldsymbol{u}\in\mathcal{S}^{l}:\boldsymbol{u}^{l-1}=\tilde{\boldsymbol{s}}_{2}^{l}}\left(\tilde{c}_{k-1}\left(\boldsymbol{u}\right) + c_{k}\left(\tilde{s}\right)\right).$$

4: If
$$k \ge l$$
, set $(\hat{s})_{k-l+1} := (\tilde{s}^{\circ})_1$, where
 $\tilde{s}^{\circ} = \arg\min \tilde{c}_1(\tilde{s})$

- 5: Set k := k + 1. If $k \le t$ go to Step 3.
- 6: Output: decoded output \hat{s}^t , where $\hat{s}_{t-l+1}^t := \tilde{s}^{\circ}$.





JOINT SOURCE CHANNEL CODING USING DEEP LEARNING



Results

Top Plot:

• Sentences with similar meaning are placed close together in the code space

Bottom Plot:

- 400 bits per sentence,
- erasure rate of 0.05
- Reed-Solomon codes are used when separate source and channel code design is used (black, red, and blue)
- Considerable number of word errors for deep learning may be word replacements that preserve the meaning of the sentence



mmWave Massive MIMO

Unlicensed 60GHz and Light Licensed E-Band





- mmWaves have large attenuation and path loss
- Massive MIMO removes attenuation, fading, interference
- Bottlenecks: channel estimation, complexity, propagation
- Ideal beamforming disappears with shadowing
- Need multihop/mesh networks

Blind MIMO Decoding via Vertex Hopping

Given samples in the form:

$$y = Ax + e$$
$$A \sim \mathcal{N}(0,1)^{n \times n}, e \sim \mathcal{N}(0,\sigma^2)^{n \times n}.$$

- x is drawn from an MPAM or BPSK constellation (source must be hypercubic).
- Rich scattering, small MIMO ($2 \le n \le 12$).

Blind MIMO Decoding

In a block-fading environment, estimate A and recover x given only k samples of y

Fitting a Parallelepiped --- Algorithms



- (*) is a non-convex optimization problem
- Constrained gradient descent works but is slow.
- The Vertex Hopping algorithm uses concepts from solving mixed-integer linear programming to solve (*).

Runtime Performance

		Vertex Hopping*		Gradient Descent	
n	k	Pr[success]	Time (s)	Pr[success]	Time (s)
2	8	1.0	1.83×10^{-5}	0.99	3.01×10^{-2}
3	13	1.0	6.46×10^{-5}	0.99	6.33×10^{-2}
4	18	1.0	1.74×10^{-4}	0.99	0.13
5	18	1.0	2.96×10^{-4}	0.97	0.30
6	22	1.0	8.52×10^{-4}	0.93	0.59
8	30	0.99	4.99×10^{-3}	0.80	3.5
10	45	0.99	5.36×10^{-2}	0	-
12	60	0.99	3.70×10^{-1}	0	-

Implemented in Rust MATLAB's fmincon

AWGN and Fading Performance



Network Optimization Challenges

- Algorithmic complexity
 - Frequency allocation alone is NP hard
 - Also have MIMO, power control, hierarchical networks: NP-really-hard
 - Advanced optimization tools needed, including a combination of centralized (cloud) distributed, and locally centralized (fog) control
 - ML can also play a role

Next challenge: optimizing caching and edge computing



Fog-Optimization vs. Centralized

- Use clustering technique to cluster BSs, then optimize power allocation to maximize uplink sum rate
 - Consider multiple clustering techniques (not much difference)
 - Nonconvex approximation for optimization



Summary

- Future wireless networks must support high rates, extreme energy efficiency, and low latency
 - Small cells, multihop routing and massive MIMO are key enablers.
 - Network must be robust to rapidly-varying channels and adversaries
 - Machine learning and optimization is a promising new tool to use in receiver design, multiple access, and resource allocation
- Cloud and fog-based networking has many open challenges, particularly edge vs. cloud optimization